

Perelman's entropy in Kähler geometry

Eiji INOUE (RIKEN iTHEMS)

23, July, 2021, Hayama

Perelman's W -entropy

X : a compact Kähler manifold

L : a Kähler class on X ; $L = c_1(L)$

Perelman's W -entropy

For a Kähler metric $\omega \in \mathcal{H}(X, L)$ and a smooth function $f \in C^\infty(X, \mathbb{R})$ normalized as $\int_X e^f \omega^n / n! = 1$, we put

$$\check{W}(\omega, f) := - \int_X (s(\omega) + |\partial^\# f|^2) e^f \omega^n / n!,$$

$$\check{S}(\omega, f) := \int_X (n + f) e^f \omega^n / n!,$$

$$\check{W}^\lambda(\omega, f) := \check{W}(\omega, f) + \lambda \check{S}(\omega, f).$$

$$\check{W}^\lambda : T\mathcal{H}(X, L) = \mathcal{H}(X, L) \times C^\infty(X)/\mathbb{R} \rightarrow \mathbb{R}.$$

μ -cscK metric

Theorem (I. '21)

A state $(\omega, f) \in T\mathcal{H}(X, L)$ is a critical point of \check{W}^λ if and only if $\xi = \partial^\sharp f$ is **holomorphic** and ω satisfies the equation

$$(s(\omega) + \bar{\square}f) + (\bar{\square}f + |\partial^\sharp f|^2) = \lambda f - \text{const.}$$

We call a Kähler metric ω **μ^λ -cscK metric** if it satisfies the above equation for some f with holomorphic $\partial^\sharp f$. We put

$$s_f(g) := (s(g) + \bar{\square}f) + (\bar{\square}f + |\partial^\sharp f|^2).$$

- $\mu_\xi^{2\pi}$ -cscK metric in $c_1(X) \Leftrightarrow$ KR's $\text{Ric}(\omega) - L_\xi \omega = \omega$.
- Extremal metric $\Rightarrow \mu^\lambda$ -cscK metric for $\lambda \ll 0$.

μ -entropy

We put

$$\mu^\lambda(\omega) := \sup_{\int_X e^f \omega^n / n! = 1} \check{W}^\lambda(\omega, f).$$

Theorem (Rothaus '81, I. '21)

For each λ and ω , there exists f attaining the maximum of $\check{W}^\lambda(\omega, \cdot)$. When $\lambda \leq 0$, such f is unique. Consequently, μ^λ is smooth on $\mathcal{H}(X, L)$ for $\lambda \leq 0$. The critical points are precisely μ^λ -cscK metrics in this case.

The functional is analogous to Calabi functional for extremal metric.

Moment map picture

$(M, \omega) \curvearrowright T$: Hamiltonian torus action. For $\xi \in \mathfrak{t}$ and $\lambda \in \mathbb{R}$, the map

$$\mathcal{S}_\xi^\lambda : \mathcal{J}_T \rightarrow \mathfrak{ham}_T^\vee : J \mapsto (s_{\mu_\xi}(g_J) - \lambda \mu_\xi) e^{\mu_\xi \omega^n}$$

gives a **moment map** for a symplectic manifold $(\mathcal{J}_T, \Omega_\xi) \curvearrowright \text{Ham}_T$.

μ_ξ^λ -Futaki invariant

$$\text{Fut}_\xi^\lambda(\zeta) := - \int_X \mu_\zeta (s_{\mu_\xi}(\omega) - \lambda \mu_\xi) e^{\mu_\xi \omega^n}.$$

\rightsquigarrow generalized to test configuration via equivariant intersection formula

$\Rightarrow \mu_\xi^\lambda$ -K-stability \supset modified K-stability

Theorem (Lahdili '19, I. '20, Apostolov–Jubert–Lahdili '21)

If (X, L) admits a $\check{\mu}_\xi^\lambda$ -cscK metric, then it is $\check{\mu}_\xi^\lambda$ -K-semistable.

Volume minimization: μ -Futaki invariant vanishing

Proposition (A generalization of Tian–Zhu's result. I. '19)

$(X, \omega) \curvearrowright K$: Hamiltonian action. The functional

$$\begin{aligned} \mu_{\text{NA}}^\lambda : \mathfrak{k} &\longrightarrow \mathbb{R} \\ \xi &\mapsto \check{W}^\lambda(\omega, \mu_\xi^\omega) \end{aligned}$$

is independent of the choice of $\omega \in \mathcal{H}(X, L)$.

- 1 Its derivative at $\xi \in \mathfrak{k}$ is Fut_ξ^λ .
- 2 It is proper and bounded from above, hence attains a maximum, which implies the **existence** of ξ with $\text{Fut}_\xi^\lambda = 0$.
- 3 The value

$$\lambda_{\text{ice}}(X, L) := \sup\{\lambda \in \mathbb{R} \mid \mu_{\text{NA}}^{\lambda'} \text{ has a \textbf{unique} crit. pt. for } \forall \lambda' < \lambda\}$$

is finite (never $\pm\infty$).

Non-archimedean μ -entropy

We can extend μ_{NA}^λ to test configurations $(\mathcal{X}, \mathcal{L})$.

Example: Non-archimedean μ -entropy for toric configuration

Let P be the moment polytope of a toric variety (X, L) . For a convex function q normalized as $\int_P e^q d\mu = 1$, we put

$$\mu_{\text{NA}}^\lambda(q) := \int_{\partial P} e^q d\sigma - \lambda \int_P (n+q)e^q d\mu$$

We have $\mu_{\text{NA}}^\lambda(\mathcal{X}, \mathcal{L}) = \mu_{\text{NA}}^\lambda(q_{(\mathcal{X}, \mathcal{L})})$.

Theorem (I. '21)

$$\sup_{(\mathcal{X}, \mathcal{L})} \mu_{\text{NA}}^\lambda(\mathcal{X}, \mathcal{L}) \leq \inf_{\omega \in \mathcal{H}(X, L)} \mu^\lambda(\omega)$$

Sketch of proof

- $\check{W}^\lambda(\omega_{\phi_t}, -\dot{\phi}_t)$ is monotonically decreasing.

Consider the action functional $\mathcal{A}(t) := -\int_0^t \check{W}^\lambda(\omega_{\phi_s}, -\dot{\phi}_s) ds$ and show its convexity by a tensor calculus on equivariant differential forms and Berman–Berndtsson's subharmonicity argument. (cf. the convexity of Mabuchi functional)

- $\lim_{t \rightarrow \infty} \check{W}^\lambda(\omega_{\phi_t}, -\dot{\phi}_t) = \mu_{\text{NA}}^\lambda(\mathcal{X}, \mathcal{L})$

The equivariant Stokes theorem and the regularity of geodesic ray across the central fibre (Chu–Tosatti–Weinkove).

$$\check{\mu}^\lambda(\omega) \geq \check{W}^\lambda(\omega_{\phi_{\tau,t}}, -\dot{\phi}_{\tau,t}) \rightarrow \mu_{\text{NA}}^\lambda(\mathcal{X}, \mathcal{L}; \tau)$$

Cororally

For $\lambda \leq 0$, all the critical points of μ^λ are global minimizers, which are precisely μ^λ -cscK metrics. If there is a $\check{\mu}_\xi^\lambda$ -cscK metric ω , we have

$$\sup_{(\mathcal{X}, \mathcal{L}; \tau)} \mu_{\text{NA}}^\lambda(\mathcal{X}, \mathcal{L}; \tau) = \mu_{\text{NA}}^\lambda(\phi_\xi) = \mu^\lambda(\omega) = \inf_{\omega_\varphi \in \mathcal{H}(X, L)} \mu^\lambda(\omega_\varphi).$$

Thank you for listening!