

Complex analytic Moduli space of Fano manifolds admitting Kähler-Ricci solitons

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Moduli problem

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- (X, c) and (X', c') are biholomorphic if

$$\exists \phi : X \xrightarrow{\text{bihol.}} X' \text{ s.t. } c = \phi^*c'.$$

Complex structure on the moduli space

e.g. The moduli space of **all** K3 surfaces is a 20-dimensional complex manifold **but for Hausdorffness**. The moduli space of **polarized** K3 surfaces is a 19-dimensional **Hausdorff** complex space.

As local **semi**-moduli (constructed by Kuranishi after Kodaira-Spencer) of deformation of complex manifolds are complex spaces, it is natural to expect: the global moduli space should also admit a **natural structure** of **complex analytic space**.

Problematic Fano

Main interest: $\pm\omega \in 2\pi c_1(M, \omega)$ ($c = \pm c_1(X)$) or $c_1(M, \omega) = 0$.

- $c_1(M, \omega) < 0$ **general type**: \exists Hausdorff moduli.
- $c_1(M, \omega) = 0$ **Calabi-Yau**: \exists Hausdorff moduli (under polarization).
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$[\mathcal{X}_0]$ **cannot** be **separated** from $[X]$!

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The following is a success story.

Theorem (Odaka '15, Li-Wang-Xu '15)

Kähler-Einstein Fano manifolds admit a *natural Hausdorff, complex analytic* moduli space.

Remark: YTD-correspondence (proved by Chen-Donaldson-Sun, Tian) on KE metrics and K-stability is used in the construction of the moduli.

Today's goal: enlargement

The goal of this talk:

Theorem (I. '17)

Let $\mathcal{KR}(M, \omega)$ be the set of Fano manifolds with **Kähler-Ricci solitons** of fixed symplectic type (M, ω) . We can make this set $\mathcal{KR}(M, \omega)$ into a **Hausdorff complex analytic space** in a **canonical way**.

Kähler-Ricci soliton is a **special metric** on a Fano manifold, which generalizes Kähler-Einstein metric in view of Kähler-Ricci flow.

1. Kähler-Ricci soliton, moment map and K-optimal vector

Kähler-Ricci soliton

Definition (Kähler-Ricci soliton)

A **Kähler-Ricci soliton** on a Fano manifold X is a pair (g, ξ') of a Kähler metric g and a holomorphic vector field ξ' satisfying the following equation:

$$\text{Ric}(g) - L_{\xi'} g = g.$$

Remember $\xi := \text{Im}(\xi')$ generates a closed torus $T_{\xi}^{\mathbb{R}} = \overline{\exp \mathbb{R} \xi} \subset \text{Aut}(X)$.

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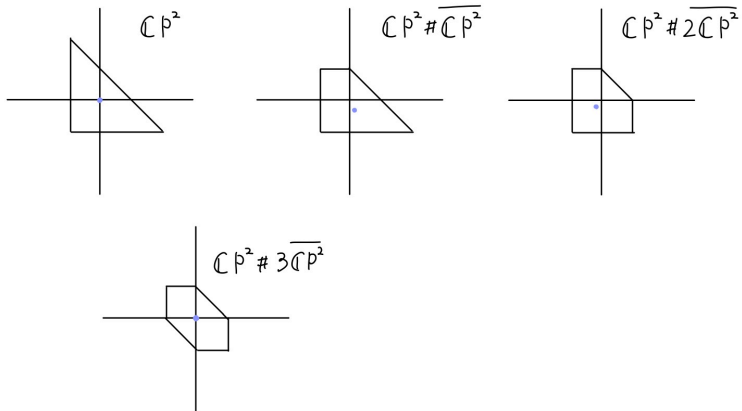
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Theorem (Uniqueness, Tian-Zhu '02)

If (g_1, ξ'_1) and (g_2, ξ'_2) are two Kähler-Ricci solitons on a Fano manifold X , then there exists an element $\phi \in \text{Aut}^0(X)$ such that

$$\phi^* \xi'_1 = \xi'_2, \quad \phi^* g_1 = g_2.$$

Example: All toric Fano manifolds admit Kähler-Ricci solitons.



Infinite dimensional symplectic manifold \mathcal{J}_T

Fix our notation.

- (M, ω) : a simply connected C^∞ -symplectic manifold.
- (T, ξ) : a closed torus acting on (M, ω) and an element of $\text{Lie}(T)$.
- θ_ξ : $d\theta_\xi = 2i\xi\omega$ with the normalization $\int_M \theta_\xi e^{\theta_\xi} \omega^n = 0$.
- \mathcal{J}_T : the space of T -inv. almost complex structures on (M, ω) .
- Ham_T : the group of T -equiv. symplectic diffeomorphisms of (M, ω) .

\mathcal{J}_T admits a Ham_T -invariant smooth symplectic form Ω_ξ defined by

$$\Omega_{\xi, J}(A, B) := \int_M \text{Tr}(JAB) e^{\theta_\xi} \omega^n$$

for $A, B \in T_J \mathcal{J}_T$.

Moment map $s_\xi : \mathcal{J}_T \rightarrow \mathfrak{ham}_T^*$

We identify the Lie algebra $\mathfrak{ham}_T := \text{Lie}(\text{Ham}_T)$ with

$$C_{T,\xi}^\infty(M) = \{f \in C_T^\infty(M) \mid \int_M f e^{\theta_\xi} \omega^n = 0\}.$$

Proposition (I. '17)

The map $S_\xi : \mathcal{J}_T \rightarrow \mathfrak{ham}_T^*$ defined by

$$J \mapsto \langle s_\xi(J), \bullet \rangle_\xi = \int_M \left(s(g_J) + \bar{\square}_{g_J} \theta_\xi - \bar{s} + \bar{\square}_{g_J} \theta_\xi - \xi'_J \theta_\xi - \theta_\xi \right) \bullet e^{\theta_\xi} \omega^n.$$

is a **moment map** of $(\mathcal{J}_T, \Omega_\xi) \curvearrowright \text{Ham}_T$.

If $c_1(M, \omega) > 0$, an integrable complex structure J satisfies $s_\xi(J) = 0$ iff g_J is a Kähler-Ricci soliton on the Fano manifold (M, J) .

We have the following immediate corollary.

For $f \in \mathfrak{t} \subset \mathfrak{ham}_T = C_{T,\xi}^\infty(M)$, an easy calculation shows that the **modified Futaki invariant** is equal to

$$\langle s_\xi, f \rangle_\xi = \int_M s_\xi f e^{\theta_\xi} \omega^n,$$

which shows the **T -equivariant deformation invariance** of the modified Futaki invariant restricted to $\mathfrak{t} \subset H^0(M, T_J M)$.

Because

$$\frac{d}{dt} \langle s_\xi(J_t), f \rangle_\xi = -\Omega_\xi(L_{X_f} J_t, J_t) = 0.$$

Remark

If the set $s_\xi^{-1}(0)$ is not empty, then $\langle s_\xi, \bullet \rangle_\xi$ should vanish.

K-optimal vector

The following is a restatement of Tian-Zhu's result.

Proposition (Tian-Zhu '02)

For any $(M, \omega) \curvearrowright T$, there exists a **unique** vector $\xi \in \text{Lie}(T)$ with $\langle \mathfrak{s}_\xi, \bullet \rangle \equiv 0$.

Definition

- We call this unique vector ξ the **K-optimal vector** of $(M, \omega) \curvearrowright T$.
- We call the action $(M, \omega) \curvearrowright T$ **K-optimal** if the K-optimal vector generates T .

The boundedness of Fano manifolds (Kollár-Miyaoka-Mori)

\implies Only finitely many non-equivalent K-optimal actions $(M, \omega) \curvearrowright T_i$ with $s_{\xi_i}(J_i) = 0$ for some integrable $J_i \in \mathcal{J}_{T_i}$ and the K-optimal ξ_i .

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The uniqueness of KR-soliton \implies The topological space

$$K(M, \omega) := \coprod_i (s_{\xi_i}|_{\mathcal{J}_{T_i}^{\text{int}}})^{-1}(0) / \text{Ham}_{T_i}$$

can be naturally identified with the set of **biholomorphism classes** of Fano manifolds admitting KR-solitons of symplectic type (M, ω) . The space $K(M, \omega)$ is **Hausdorff** as the action $\mathcal{J}_T \curvearrowright \text{Ham}_T$ is proper.

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Remark: The space $K(M, \omega)$ is naturally identified with the space

$$\{J \in \mathcal{J}^{\text{int}}(M, \omega) \mid (M, J) \text{ admits a Kähler-Ricci soliton}\} / \text{bihol.}$$

as sets, but **not as topological spaces**. Actually, the latter topological space is **not Hausdorff** in general. The equivariant formulation is essential.

2. Structure of the moduli space

Charts

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The map s_ξ is not holomorphic, $0 \in \text{ham}_T^*$ is not the regular value of s_ξ , the Fréchet Lie group Ham_T is not complex Lie group, etc.

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Generality on GIT quotient: a natural **morphism** $[\tilde{U}\text{Aut}/\text{Aut}] \rightarrow U$ enjoying the following universal property:

$$\begin{array}{ccc}
 [\tilde{U}\text{Aut}/\text{Aut}] & & \\
 \downarrow & \searrow \Delta & \\
 U & \xrightarrow{\exists!} & \mathcal{K}(M, \omega) \\
 & \text{holomorphic} &
 \end{array}$$

The story of holomorphic gluing

- 1 Show the morphism $[\tilde{U}\text{Aut}/\text{Aut}] \rightarrow \mathcal{K}(M, \omega)$ is an open imersion.

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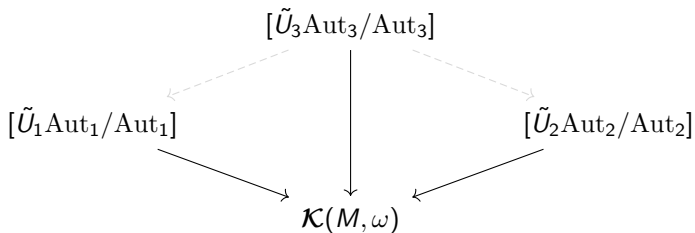
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$$\begin{array}{ccc} [\tilde{U}_1\text{Aut}_1/\text{Aut}_1] & & [\tilde{U}_2\text{Aut}_2/\text{Aut}_2] \\ & \searrow & \swarrow \\ & \mathcal{K}(M, \omega) & \end{array}$$

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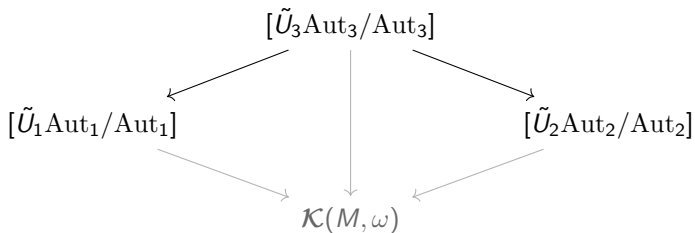
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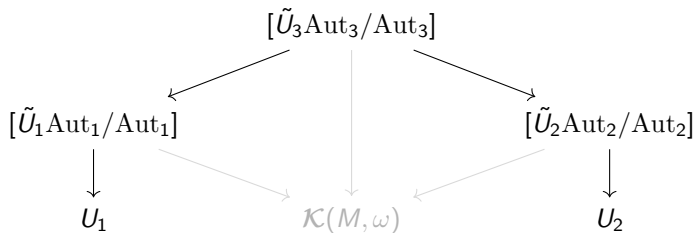
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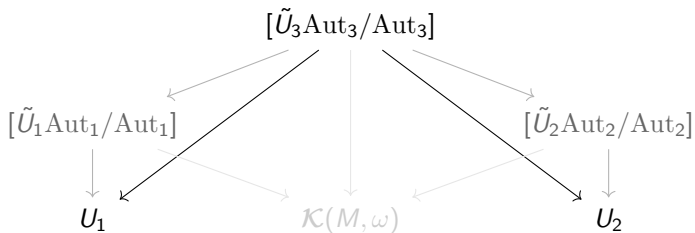
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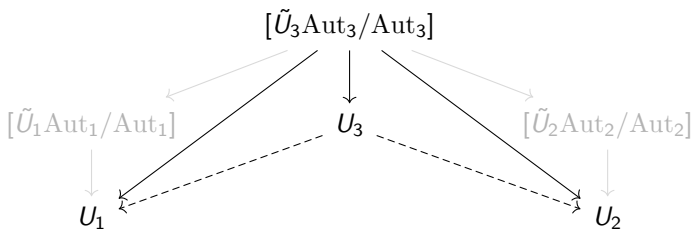
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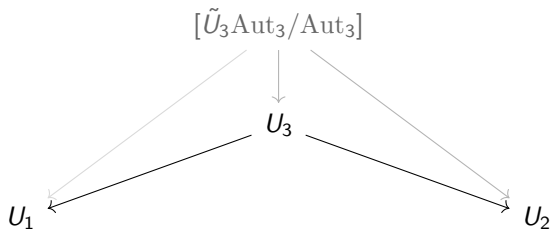
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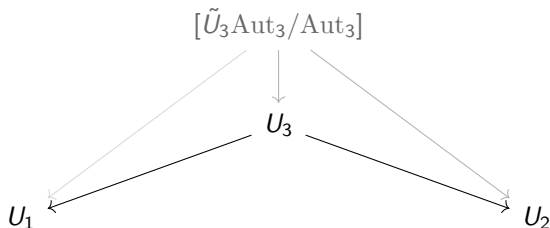
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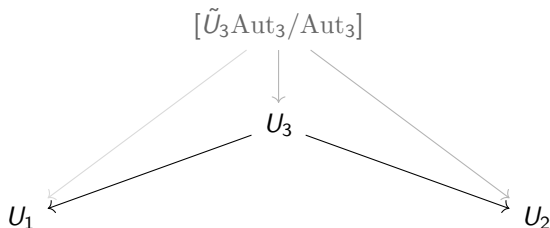


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4 The coordinate change $\phi_2^{-1} \circ \phi_1$ between U_1 and U_2 is holomorphic.

The universality of the moduli space

Theorem (I. '17)

The complex space $K(M, \omega)$ is uniquely characterized by the universality of a natural morphism $\mathcal{K}(M, \omega) \rightarrow K(M, \omega)$.

$$\begin{array}{ccc}
 \mathcal{K}(M, \omega) & & \\
 \downarrow & \searrow \forall & \\
 K(M, \omega) & \overset{\exists!}{\dashrightarrow} & \forall S
 \end{array}$$

Gromov-Hausdorff topology

Proposition (I. '17)

The space

$$\mathcal{KR}_{GH}(M, \omega) = \{\text{Fano mfd of type } (M, \omega) \text{ with } \exists \text{ KR solitons}\} / \text{bihol.}$$

endowed with the Gromov-Hausdorff topology is naturally homeomorphic to $K(M, \omega)$.

Thank You !