

Perelman entropy in Kähler geometry & Thermodynamical structure

Want to talk about.

1. Perelman $\mu^{\lambda \in \mathbb{R}}$ -entropy
& Calabi energy (rescaled
lim of $\lambda \rightarrow -\infty$)
2. Non-archimedean μ^{λ} -entropy
& L^2 -Normalized DF inv
3. Donaldson type inequality
and optimal degeneration.
4. Tonic case
5. Optimal degeneration is equilibrium
- λ is absolute temperature.

The role of Perelman μ -entropy

in this talk

is analogous to Calabi fct'l

$$\text{Cal}(\omega) = \frac{1}{2} \int_X \hat{S}(\omega)^2 \omega^n$$

\downarrow
0

It is NOT something like

Mabuchi fct'l.

$$\delta M = - \int_X \hat{S}(\omega) \dot{\varphi} \omega^n$$

NoR

relative Mabuchi fct'l

$$\delta M_{\Sigma} = - \int_X (\hat{S}(\omega) - \hat{\theta}_{\Sigma}(\omega)) \dot{\varphi} \omega^n$$

1. Perelman entropy W & μ

$(X, [w])$ cpt Kähler $\lambda \in \mathbb{R}$

$$W^\lambda = W - \lambda S : TH(X, w) \rightarrow \mathbb{R}$$

$$\begin{aligned} & \parallel \\ (\omega_\phi, f) & \in H(X, w) \times C^\infty(X) / \mathbb{R} \end{aligned}$$

Normalize f : $\int_X e^f \frac{\text{vol}_\phi}{\omega_\phi^n / n!} = 1$.

$$W(\omega_\phi, f)$$

$$:= - \int_X (s(\omega_\phi) + \frac{1}{2} |\nabla_\phi f|_\phi^2) e^f \text{vol}_\phi$$

$$S(\omega_\phi, f) \leftarrow \text{relative entropy}$$

$$:= - \int_X f e^f \text{vol}_\phi \quad e^f \text{vol}_\phi \cdot \text{vol}_\phi$$

$$\mu_{\text{Per}}^\lambda(\omega, \phi) := \sup_f W^\lambda(\omega, \phi, f)$$

Thm Assume $\lambda \leq 0$.

Then μ_{Per}^λ is smooth fct'l

& its critical pts are
= minimizers

precisely $\mu^\lambda - \text{csc } K$ m-twcs

Proof

A tedious calculation

+ Rothaus's proof of log Sobolev ineq

+ implicit fct thm w/ linearization

$$\Delta - \nabla f - \lambda \quad \square$$

Before going further,

let us observe. $\lambda \rightarrow -\infty$.

$$\beta := -\lambda^{-1} > 0 \quad (\lambda < 0)$$

$$\frac{W^{-\beta}(\omega_\phi, \beta f) - W^{-\beta}(\omega_\phi, 0)}{\beta}$$

β

$\downarrow \beta \downarrow 0 \quad (\lambda \downarrow -\infty)$

$$- \frac{1}{2 \int_X v \, d\phi} \left[\int_X (\hat{\Sigma}(\omega_\phi) + \hat{f})^2 v \, d\phi - \int_X \hat{\Sigma}(\omega_\phi)^2 v \, d\phi \right]$$

$$=: W_{\text{ext}}^{\dagger}(\omega_\phi, f).$$

where

$$\begin{cases} \hat{\Sigma}(\omega_\phi) = \Sigma(\omega_\phi) - \frac{\int_X \Sigma(\omega_\phi) v \, d\phi}{\int_X v \, d\phi} \\ \hat{f} = f - \frac{\int_X f v \, d\phi}{\int_X v \, d\phi} \end{cases}$$

$$\sup_f W_{\text{ext}}^+(\omega_\phi, f)$$

$$= \frac{1}{2 \int_X \text{vol}_\phi} \int_X \hat{S}(\omega_\phi)^2 \text{vol}_\phi$$

achieved at

$$\hat{f} = -\frac{1}{2} \hat{S}(\omega_\phi)$$

... Calabi fct'l.

Fact

Crit pt of Cal

are precisely extremal metrics.

Rem

$$W_{\text{ext}}^+(\omega_\phi, f) = \frac{1}{\int_X \text{vol}_\phi} \delta M(\omega_\phi, f)$$

$$= \frac{1}{2 \int_X \text{vol}_\phi} \int_X \hat{f}^2 \text{vol}_\phi \quad \begin{array}{l} \text{non linear} \\ \text{equiv} \\ \text{intersection} \end{array}$$

Def $\omega_\phi \in \mathcal{H}(X, \omega)$ is called

- extremal metric if $\exists f \in C^\infty(X)$

$$\partial_\phi^\# f := g_\phi^{i\bar{j}} f_{, \bar{j}} d i$$

is holomorphic &

$$S(\omega_\phi) = f \text{ modulo const.}$$

- μ^λ -cscK metric if $\exists f \in C^\infty(X)$

$\partial_\phi^\# f$ is holomorphic &

$$S(\omega_\phi) + \frac{1}{2} \Delta_\phi f$$

$$+ \frac{1}{2} (\Delta_\phi f - |\nabla_\phi f|^2) = \lambda f.$$

modulo const.

Thm

\exists extremal metric $\in [\omega]$

$\Rightarrow \exists \mu^\lambda$ -cscK metric $\in [\omega]$ for $\lambda < 0$

Prop When $T[\omega] = -c_1(X)$

$\omega_\phi \in [\omega]$ is $\mu^{-2\pi T}$ -cscK met.

iff it is a Kähler-Ricci solution

i.e. $\exists f \in C^\infty(X)$

$\partial_{\bar{\partial}}^\# f$ is holomorphic

$$\lambda \operatorname{Ric}(\omega_\phi) - \mathcal{L}_{\partial_{\bar{\partial}}^\# f} \omega_\phi = -2\pi T \omega_\phi.$$

(limit / self similar solution
of KR flow)

Recall

$$M : \mathcal{H}(X, \omega) \rightarrow \mathbb{R}$$

$$\delta M = - \int (S(\omega_\varphi) - \bar{s}) \dot{\varphi} \omega_\varphi^n$$

δM : exact 1-form

$$\delta M : T\mathcal{H}(X, \omega) \rightarrow \mathbb{R}$$

$$(\omega_\varphi, \dot{\varphi}) \mapsto - \int (S(\omega_\varphi) - \bar{s}) \dot{\varphi} \omega_\varphi^n$$

linear

- the limit
of slope of M along geod ray
$$= \lim_{t \rightarrow \infty} - \delta M \left(\underbrace{\omega_{\phi_t}, - \dot{\phi}_t}_{\text{curve in tangent bdl}} \right)$$

- convexity

$$= \text{monotonicity of } \delta M \left(\omega_{\phi_t}, - \dot{\phi}_t \right)$$

Recall also $W^\lambda(\omega_\phi, f)$, $W_{\text{ext}}^\lambda(\omega_\phi, f)$
is well-def for $f \in C^{0,1}(X)$

2. NA μ -entropy

Consider a $C^{1,1}$ -geod ray

$\{\phi_t\}_{t \in [0, \infty)}$ in $\mathcal{H}^{1,1}(X, \omega)$

Fact (Chu-Tossoni-Weinkove)

For a $t_c \in (X, \mathcal{L})$,

we can assign a unique

$C^{1,1}$ -geod ray $\{\phi_t^{(X, \mathcal{L})}\}_{t \in [0, \infty)}$

Thm $p \geq 0$

• $W^\lambda (\omega_{\phi_t} - p \dot{\phi}_t)$ $W_{\text{ext}}^+ (\omega_{\phi_t} - p \dot{\phi}_t)$

\uparrow
 Co.1 $\int e^{-\dot{\phi}_t} \omega_{\phi_t}^n = 1$

or monotone decreasing along

$C^{1,1}$ -geod $\{\phi_t\}_{t \in [0, \infty)}$.

• (well-known) For a smooth $t_0 \in (\chi, \mathcal{Z})$,

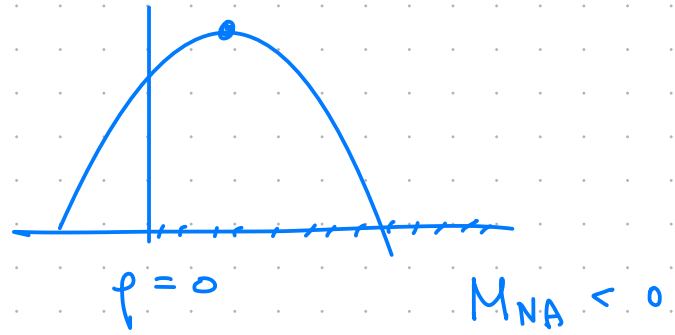
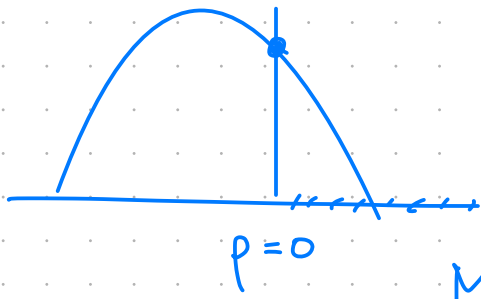
$$\lim_{t \rightarrow \infty} W_{\text{ext}}^+ (\omega_{\phi_t} - p \dot{\phi}_t (\chi, \mathcal{Z}))$$

$$= - \frac{1}{2(L^n)} (p 4\pi M_{\text{NA}} (\chi, \mathcal{Z}) + p^2 \int_{\mathbb{R}} (t-b)^2 DH (\chi, \mathcal{Z}))$$

$\underbrace{\hspace{10em}}_{\text{No T linear}} \quad \underbrace{\hspace{10em}}_{\|\chi, \mathcal{Z}\|^2}$

$C_{\text{NA}} (\chi, \mathcal{Z}; p)$

$$b = \frac{\int t DH (\chi, \mathcal{Z})}{\int DH (\chi, \mathcal{Z})}$$



$$\sup_{p \geq 0} C_{NA}(\chi, \mathcal{L}; p)$$

$$= \begin{cases} 0 & M_{NA} \geq 0 \\ \frac{2\pi^2}{(L^n)} \frac{M_{NA}(\chi, \mathcal{L})^2}{\|\chi, \mathcal{L}\|^2} & M_{NA} < 0 \end{cases}$$

$$C_{NA}(\chi, \mathcal{L}; p) = \lim W_{\text{ext}}^+(\omega_{\phi_t} - \dot{\phi}_t)$$

$$\leq W_{\text{ext}}^+(\omega_{\phi_0} - \dot{\phi}_0)$$

$$\leq \text{Cal}(\omega_{\phi_0})$$

Donaldson inequality on Calabi form

Similarly, $\lim_{t \rightarrow \infty} W^\lambda (\omega_{\phi_t} - \rho \phi_t^\circ(x, \mathcal{L}))$

can be written by

$$\begin{aligned} \text{Gim-equivariant intersection of } K_{\frac{\mathbb{R}^2 \times \mathbb{R}^2}{\mathbb{R}^2 / \mathbb{P}^1} \cdot \overline{\mathcal{L}} \\ \uparrow \\ \mathbb{R} \mathbb{P}^1 = \widehat{H}_{\text{Gim}}(\phi_t) \quad K_{\mathbb{R}^2 / \mathbb{P}^1} - (\chi_0 - \chi_0^{\text{red}}) \end{aligned}$$

which we denote as $\mu_{\text{NA}}^\lambda(x, \mathcal{L}; \rho)$ Thm.

When

(x, \mathcal{L}) & (x, \mathcal{L}) is toric,

$$\begin{aligned} \mu_{\text{NA}}^\lambda(x, \mathcal{L}; \rho) = & -2\pi \frac{\int_{\partial P} e^{\rho \mathcal{L}} d\alpha}{\int_P e^{\rho \mathcal{L}} d\mu} \\ & + \lambda \left[\frac{\int_P \rho \mathcal{L} e^{\rho \mathcal{L}} d\mu}{\int_P e^{\rho \mathcal{L}} d\mu} - \log \int_P e^{\rho \mathcal{L}} d\mu \right] \end{aligned}$$

3. To sum up, we obtain

Thm (L. Donaldson)

$$\sup_{\substack{(X, \Sigma) \\ p \geq 0}} \mu_{NA}^\lambda(X, \Sigma, p) \leq \inf_{\omega_\phi \in [\omega]} \mu_{Per}^\lambda(\omega_\phi)$$

$$\sup_{(X, \Sigma)} \frac{2\pi^2}{(L \cdot n)} \frac{M_{NA}(X, \Sigma)^2}{\|X \cdot \Sigma\|^2} \leq \inf_{\omega_\phi \in [\omega]} C_{ol}(\omega_\phi)$$

(Donaldson '05)

a little bit.

Cor (Non-trivial)

$$\exists \mu^\lambda\text{-csc } K \quad \Rightarrow \quad \mu^\lambda K\text{-semistable}$$

$\lambda \leq 0$

$$\exists \text{ extremal} \quad \Rightarrow \quad \text{relative } K\text{-semistable.}$$

We can define μ_{NA}^λ for a suitable class of NA psh, including those coming from IR-filtrations

Thm If a filtration F maximizes μ_{NA}^λ and

$$\text{gr } F = \bigoplus_m \bigoplus_\lambda F_m^\lambda / F_m^{\lambda+}$$

is finitely generated,

then $\widehat{X} = \text{Proj } \text{gr } F$ is $\mu^\lambda K$ -ss.

w.r.t. Σ on \widehat{X} induced

by F .

$$\left(F_m^{\lambda+} := \sum_{\lambda' > \lambda} F_m^{\lambda'} = \bigcup_{\lambda' > \lambda} F_m^{\lambda'} \right)$$

When $TL = K_x$ ($T \in \mathbb{R}$)

$$\exists KR_s = \mu^{-2\pi T} - \text{csc } K \text{ met}$$

$$\Leftrightarrow \mu^{-2\pi T} K_{ps} \text{ (w.r.t. special tc)}$$

(Berman-Witt Nyström
Datar-Szekelyhidi)

Thm When $TL = K_x$

$$\mu^{-2\pi T} K_{ss} \text{ w.r.t. } \Sigma$$

$$\Leftrightarrow \mu_{NA}^{-2\pi T} \text{ maximized by } \mathcal{F}_\Sigma$$

(When $T \geq 0$, this means
 $\mathcal{F}_{\text{triv}}$ maximizes $\mu_{NA}^{-2\pi T}$)

Thm (Chen-Sun-Wang · Dervan · Székelyhidi ·

Han-Li · Blum-Liu-Xu-Zhuang ·

I.)

If $TL = K_X$ ($T < 0$)

$\exists!$ maximizer F of μ_{NA}^λ ^{or} (H-entropy)

It is finitely generated, $\hat{X} = Proj gr F$

is a \mathbb{Q} -Fano variety $\mu^\lambda K$ -ss

w.r.t. Σ generated by F .

Moreover,

• \exists Σ -equiv sp to \hat{X} of \hat{X}

w/ \hat{X}_0 admits a KR_S

w.r.t. Σ

• NKRF $\dot{\omega}_t = -Ric \omega_t + \lambda \omega_t$ on X

converges to KR_S on \hat{X}_0 in G.H. sense.

4. Tonic case ($-\frac{\lambda}{2\pi} L \neq K_x$)

Thm There exists a \checkmark lsc convex fct

$g_\lambda: P \rightarrow (-\infty, \infty]$ which

maximizes μ_{NA}^λ among all

convex fcts w/ $\int_P e^{g'} d\mu < \infty$

• For $\lambda < 0$, g_λ is unique

up to addition.

• $g_\lambda \xrightarrow{\exists!} g_0$ up to addition,
 $\lambda \nearrow 0$

$\&$ g_0 maximizes μ_{NA}^0 .

• $\dim P = 2$. g_0 is continuous.

Thermodynamical structure

Structure of (stochastic) thermodynamics

- Ω : system (a finite set)
- p : state (a probability measure on Ω)
- $S(p)$: entropy $(= - \sum_{i \in \Omega} p(i) \log p(i))$
- $U_E(p)$: internal energy. $(= \sum_{i \in \Omega} E(i)p(i))$
 $E : \Omega \rightarrow \mathbb{R}$
Hamiltonian

physicists use this framework to

understand "Maxwell demon"

... information theory.

Def (second law of thermodynamics)

p is equilibrium of internal energy U

if $U_E(p) = U$.

$\wedge S_E(p) \geq S_E(p')$ for

$p' \ w/ \ U_E(p') = U$.

In stochastic thermodynamical setup.

we find p is equilibrium if

it is of the form.

$$p_\beta^{(j)} = \frac{e^{-\beta E(j)}}{\sum_{i \in \Omega} e^{-\beta E(i)}}$$

(canonical distribution,
Gibbs distribution)

for some β dep on U .

inverse temperature

$$S^{eq}(U) := S(\text{equilibrium of internal energy } U)$$
$$= \sup \{ S(p) \mid U(p) = U \}$$

Concave, increasing on

$$U \in [\min E, \sum_{i \in \Omega} E(i)]$$

$$\beta(U) := \frac{\partial S^{eq}}{\partial U} > 0.$$

$$T(U) := \frac{1}{\beta(U)}$$

Thermalization

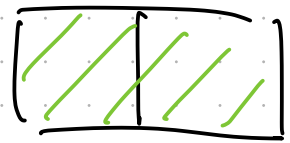
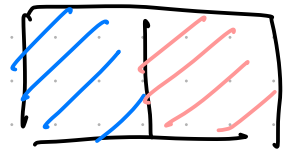
p_1 : equilibrium of Ω_1

p_2 : equilibrium of Ω_2

• $p_1 \times p_2$ is an equilibrium of $\Omega_1 \times \Omega_2$.

if and only if

$$T(p_1) = T(p_2)$$



• Otherwise, if $T(p_1) < T(p_2)$,

we have

$$T(p_1) < T(\hat{p}_1) = T(\hat{p}_2) < T(p_2)$$

for equilibrium $\hat{p} = \hat{p}_1 \times \hat{p}_2$ of

internal energy $U_{E_1 \times E_2}(p_1 \times p_2) = U_{E_1}(p_1) + U_{E_2}(p_2)$

canonical dist p_β minimizes

$$\text{Helmholtz free energy } F_\beta = U - \beta^{-1} S$$

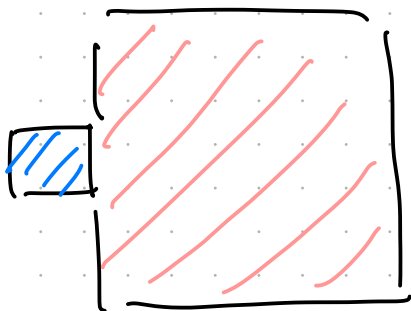
(Lagrange multiplier)

$$-\beta F_\beta = S - \beta U \quad (\text{free entropy})$$

can be understood as

entropy of a composite system $\Omega \times \Omega_R^\infty$

heat bath
(heat reservoir)



In our framework

system is a polytope P
(or (X, L))

state is a log convex fctⁿ on P

normalized as $\int_P u d\mu = 1$.

entropy $S(u) = -\int_P u \log u d\mu$

internal energy $U(u) = 2\pi \int_{\partial P} u da$

Thm equilibrium exists

and is of the form

$$u_\lambda = \frac{e^{\beta_\lambda}}{\int_P e^{\beta_\lambda} d\mu} \text{ for the maximizer } \beta_\lambda \text{ of } \mu_{NA}^\lambda$$

(for some λ dep on U)

It turns out equilibrium of int energy

U is achieved by $\mu \lambda$ w/

$$\lambda = -2\pi \left(\frac{\partial S^{eq}}{\partial U} \right)^{-1}$$

absolute temperature.

μ_{NA}^{λ} can be understood as

"free energy", which we can

understand as entropy of a

composite system.

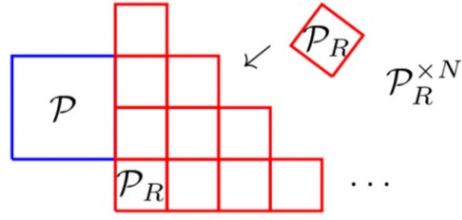


FIGURE 4. Realization of heat bath as the limit of infinitely many composition

Theorem 4.17 (Heat bath limit). Let \mathcal{P} be a K-unstable system and \mathcal{P}_R be a mild K-unstable system. Fix $U \in \mathfrak{U}_{\mathcal{P}}^*$ and $T_R \in [0, \infty)$. For $N \in \mathbb{N}$, consider the composite system

$$\tilde{\mathcal{P}}_N = \mathcal{P} \times \mathcal{P}_R^{\times N}.$$

Let $\tilde{u}_N \in \mathcal{M}_{\text{NA}}^{\text{exp},1}(\tilde{\mathcal{P}}_N)$ be the equilibrium of internal μ -energy $U + NU_{\mathcal{P}_R}^{\text{can}}(T_R)$. Then the following associated equilibrium on the subsystem P

$$u_N := \frac{1}{\int_{\mathcal{P}_R^{\times N}} d\mu_{\mathcal{P}_R}^{\times N}} \int_{\mathcal{P}_R^{\times N}} \tilde{u}_N d\mu_{\mathcal{P}_R}^{\times N} \in \mathcal{M}_{\text{NA}}^{\text{exp},1}(P),$$

converges in L^p -topology ($p \in [1, \frac{n}{n-1})$) to the μ -canonical distribution $u_{\infty} \in \mathcal{M}_{\text{NA}}^{\text{exp},1}(P)$ of temperature T_R , which is independent of the choice of $U \in \mathfrak{U}_{\mathcal{P}}$.

Let $u_R^{\times N} \in \mathcal{M}_{\text{NA}}^{\text{exp},1}(\mathcal{P}_R^{\times N})$ be the equilibrium of internal μ -energy $NU_{\mathcal{P}_R}^{\text{can}}(T_R)$ on $\mathcal{P}_R^{\times N}$. Then for any $u \in \mathcal{M}_{\text{NA}}^{\text{exp},1}(\mathcal{P}, U)$ of internal μ -energy U , the difference of composite entropy

$$\Delta S_{\tilde{\mathcal{P}}_N} := S_{\tilde{\mathcal{P}}_N}(\tilde{u}_N) - S_{\tilde{\mathcal{P}}_N}(u \times u_R^{\times N})$$

converges to

$$-\frac{1}{T_R}(F_{\mathcal{P}}(T_R, u_{\infty}) - F_{\mathcal{P}}(T_R, u))$$

as $N \rightarrow \infty$, which is independent of the choice of the mild system \mathcal{P}_R .

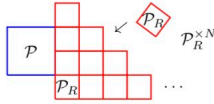


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$$u_N := \frac{1}{\int_{\mathcal{P}_R^{\times N}} d\mu_{\mathcal{P}_R}^{\times N}} \int_{\mathcal{P}_R^{\times N}} \tilde{u}_N d\mu_{\mathcal{P}_R}^{\times N} \in \mathcal{M}_{\mathcal{P}}^{\text{exp},1}(P),$$

converges in L^p -topology ($p \in [1, \frac{n}{n-1})$) to the μ -canonical distribution $u_\infty \in \mathcal{M}_{\mathcal{P}}^{\text{exp},1}(P)$ of temperature T_R , which is independent of the choice of $U \in \mathfrak{M}_P$.

Proof. We note $U + NU_{\mathcal{P}_R}^{\text{can}}(T_R) \in \mathfrak{M}_{\tilde{\mathcal{P}}_N}^*$. Since \mathcal{P}_R is mild, the composite system $\mathcal{P} \times \mathcal{P}_R^{\times N}$ is also mild. Let $T_N \in [0, \infty)$ be the element of the one point set

$$\mathbb{T}_{\tilde{\mathcal{P}}_N}^{\text{eq}}(U + NU_{\mathcal{P}_R}^{\text{can}}(T_R)).$$

Since \tilde{u}_N on $\tilde{\mathcal{P}}_N$ is the μ -canonical distribution of temperature T_N , u_N on \mathcal{P} is also the μ -canonical distribution of temperature T_N by Theorem 4.1.

Since

$$(4.21) \quad U_{\mathcal{P}}^{\text{can}}(T_N) + NU_{\mathcal{P}_R}^{\text{can}}(T_N) = U_{\tilde{\mathcal{P}}_N}^{\text{can}}(T_N) = U + NU_{\mathcal{P}_R}^{\text{can}}(T_R),$$

we compute

$$U_{\mathcal{P}_R}^{\text{can}}(T_N) = \frac{1}{N}(U + NU_{\mathcal{P}_R}^{\text{can}}(T_R) - U_{\mathcal{P}}^{\text{can}}(T_N)) = U_{\mathcal{P}_R}^{\text{can}}(T_R) + \frac{1}{N}(U - U_{\mathcal{P}}^{\text{can}}(T_N)).$$

Since $U_{\mathcal{P}}^{\text{can}}(T_N) \in \mathfrak{M}_P$ is bounded, we get $U_{\mathcal{P}_R}^{\text{can}}(T_N) \rightarrow U_{\mathcal{P}_R}^{\text{can}}(T_R)$ as $N \rightarrow \infty$. It follows that $T_N \rightarrow T_R$. By the continuity we already proved, we conclude u_N converges to the μ -canonical distribution u_∞ of temperature T_R . \square

Now we obtain a characterization of free μ -energy in terms of equilibrium of composite system.

Theorem 4.18 (Free μ -energy as composite entropy). Let $\mathcal{P}, \mathcal{P}_R, U, T_R$ and \tilde{u}_N, u_∞ be the same as in the above theorem. Assume further the heat capacity $T_R \partial_T S_{\mathcal{P}_R}^{\text{can}}(T_R)$ of (\mathcal{P}_R, T_R) is positive. (See Remark 4.16.) Namely we assume $T_R > 0$, $S_{\mathcal{P}_R}^{\text{can}}$ is differentiable at T_R and $\partial_T S_{\mathcal{P}_R}^{\text{can}}(T_R) > 0$.

Let $u_R^{\times N} \in \mathcal{M}_{\mathcal{P}_R}^{\text{exp},1}(\mathcal{P}_R^{\times N})$ be the equilibrium of internal μ -energy $NU_{\mathcal{P}_R}^{\text{can}}(T_R)$ on $\mathcal{P}_R^{\times N}$. Then for any $u \in \mathcal{M}_{\mathcal{P}}^{\text{exp},1}(\mathcal{P}, U)$ of internal μ -energy U , the difference of composite entropy

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as $N \rightarrow \infty$, which is independent of the choice of the mild system \mathcal{P}_R .