

μ -cscK metric and μ K-stability of polarized manifolds

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1. Background: cscK metrics & K-stability

cscK metrics and Kähler–Einstein metrics

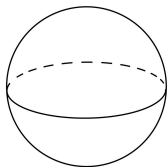
For a polarized manifold (X, L) , when the Kähler class $c_1(L)$ admits a Kähler metric ω with **constant scalar curvature (cscK metric)**?

Kähler–Einstein metric: When $\lambda c_1(L) = 2\pi c_1(X)$ for some $\lambda \in \mathbb{R}$, then ω is cscK iff it satisfies $\text{Ric}(\omega) = \lambda\omega$.

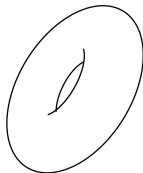
- $(\lambda < 0)$ $K_X > 0 \Rightarrow \exists$ unique KE metric.
- $(\lambda = 0)$ $K_X \equiv 0 \Rightarrow \exists$ unique Ricci flat metric in any L .
- $(\lambda > 0)$ $K_X < 0 \Rightarrow$ **Futaki invariant** is an obstruction.

 $\lambda > 0$

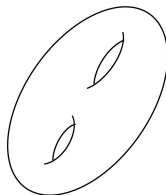
ファノ多様体

 $\lambda = 0$

カラビ・ヤウ多様体

 $\lambda < 0$

標準偏曲多様体



Yau–Tian–Donaldson conjecture

Yau–Tian–Donaldson conjecture

\exists cscK metrics in $c_1(L) \iff (X, L)$ is **K-'poly'stable**.

cf. Kobayashi–Hitchin correspondence (Donaldson, Uhlenbeck–Yau's theorem)

For a normal **test configuration** $(\mathcal{X}/\mathbb{C}, \mathcal{L})$ of (X, L) , the **Donaldson–Futaki invariant** is given by

$$DF(\mathcal{X}, \mathcal{L}) := (K_{\bar{\mathcal{X}}/\mathbb{C}P^1} \cdot \mathcal{L}^{\cdot n}) - \frac{n}{n+1} \frac{(K_X \cdot L^{\cdot(n-1)})}{(L^{\cdot n})} (\bar{\mathcal{L}}^{\cdot(n+1)}).$$

The **K-(semi)stability** of (X, L) is the positivity (non-negativity) of Donaldson–Futaki invariants. cf. **Hilbert–Mumford criterion**

Donaldson–Fujiki moment map picture

Donaldson-Fujiki moment map picture

(M, ω) : C^∞ -symplectic manifold. Scalar curvature gives a moment map on $\mathcal{J}(M, \omega)$. Namely, the map $\mathcal{S} : \mathcal{J}(M, \omega) \rightarrow \text{Lie}(\text{Ham}(M, \omega))^\vee$ given by

$$\langle \mathcal{S}(J), f \rangle = \int_M (s(g_J) - \bar{s}) f \omega^n$$

is a unique moment map for the symplectic structure Ω on $\mathcal{J}(M, \omega)$:

$$\Omega_J(A, B) = \int_M (JA, B)_{g_J} \omega^n.$$

Kempf–Ness theorem: model of YTD conjecture

Let $(B, \Omega + \nu) \circlearrowleft K$ be a projective manifold with a Hamiltonian action of compact Lie group K .

- $G = K^c$: the complexification
- $L_G := [\Omega + \nu] \in H_K^2(B, \mathbb{R}) = H_G^2(B, \mathbb{R})$
- $\eta^\vee \in H_{\mathbb{C}^\times}^2(\mathbb{C}, \mathbb{R})$: the positive generator

Kempf–Ness theorem (+ Hilbert–Mumford criterion)

For $b \in B$,

- (Semistability) $\nu^{-1}(0) \cap \overline{b \cdot G} \neq \emptyset \iff$ for every $\Lambda : \mathbb{C}^\times \rightarrow G$

$$-\Lambda_b^* L_G / \eta^\vee = -\langle \nu(\Lambda_b(0))^c, \Lambda_* \eta \rangle \geq 0.$$

- (‘Poly’stability) $\nu^{-1}(0) \cap b \cdot G \neq \emptyset \iff$ if moreover $\Lambda_b^* L_G / \eta^\vee = 0$ only when $\Lambda : \mathbb{C}^\times \rightarrow G_x$.

Semistability is Zariski open condition, while polystability is not so.

Uniqueness and Existence

Theorem (Berman-Berndtsson)

CscK metrics in $c_1(L)$ are unique modulo $\text{Aut}^0(X, L)$.

Theorem (Bando–Mabuchi, Stoppa, Berman-Darvas-Lu, et al.)

If the Kähler class $c_1(L)$ admits a cscK metric, then (X, L) is K-‘poly’stable.

Theorem (Chen-Donaldson-Sun, Tian, (Aubin, Yau, Odaka))

When $-K_X \in \mathbb{R}.L$, the Kähler class $c_1(L)$ admits a cscK metric (KE metric) if (and only if) (X, L) is K-‘poly’stable.

Moduli space of Kähler–Einstein Fano varieties

Theorem (Paul-Tian)

For a G -equivariant family $(\mathcal{X}, \mathcal{L}) \rightarrow B$ of polarized schemes, there exists a G -equivariant line bundle $CM(\mathcal{X}, \mathcal{L})$ on B such that

$$-c_1^{\mathbb{C}^\times}(f^* CM(\mathcal{X}, \mathcal{L})) = DF(f^* \mathcal{X}, f^* \mathcal{L}) \cdot \eta^\vee \in H_{\mathbb{C}^\times}^2(\mathbb{C}, \mathbb{Z}) \cong \mathbb{Z} \cdot \eta^\vee$$

for every \mathbb{C}^\times -equivariant morphism $f : \mathbb{C} \rightarrow B$.

+

Donaldson–Sun, Chen–Donaldson–Sun, Tian

↓

Theorem (Odaka, Li-Wang-Xu)

\mathbb{Q} -smoothable Fano varieties with Kähler–Einstein metrics form a **proper algebraic moduli space**.

2. Background: Kähler–Ricci solitons & modified K-stability

Kähler–Ricci soliton

Examples:

- Fano manifold $X = k$ -point blow up of $\mathbb{C}P^n$ ($k = 1, \dots, n$) does not admit KE metrics.
- A toric Fano manifold admits a KE metric iff the **barycenter of Fano polytope is the origin**.
- There are **infinitely many toric Fano orbifolds with no KE metrics**, while toric Fano orbifolds admitting KE metrics are finite in each dimension.

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$$\boxed{\text{Kähler–Ricci soliton: } \text{Ric}(\omega) - L_{J\xi}\omega = \lambda\omega}$$

cf. **normalized Kähler–Ricci flow: $\text{Ric}(\omega_t) - \lambda\omega_t = \dot{\omega}_t$**

- Every toric Fano orbifold admits a KRs.
- Every horospherical Fano manifold admits a KRs, which includes infinitely many Fano manifolds with $\rho(X) = 1$ & no KE metrics.

Tian–Zhu's volume minimization and modified K-stability

For a Fano manifold $X \circlearrowleft T$ and $\xi \in \mathfrak{t}$, the **modified Futaki invariant** $\text{Fut}_\xi \in \mathfrak{t}^\vee$ is defined by

$$\text{Fut}_\xi(\eta) := - \int_X \theta_\eta e^{\theta_\xi} \omega^n,$$

where $\theta_\xi = -2\mu_\xi$ for $[\omega + \mu] \in c_1^T(X)$. **Independent of $\omega \in c_1(X)$.**

$$\boxed{\exists \text{ KR}s \Rightarrow \text{Fut}_\xi = 0}$$

Proposition (Tian–Zhu)

Regardless of the existence of KR's, $\exists! \xi \in \mathfrak{t}$ satisfying $\text{Fut}_\xi = 0$.

Tian–Zhu’s volume minimization and modified K-stability

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Modified K-(semi)stability of X with respect to ξ : For a T -equivariant special degeneration $\mathcal{X} = (\mathcal{X}/\mathbb{C}, -K_{\mathcal{X}/\mathbb{C}})$, the **modified Futaki invariant** of \mathcal{X} is given by

$$\text{Fut}_\xi(\mathcal{X}) := - \int_{\mathcal{X}_0} \theta_\eta e^{\theta_\xi}.$$

Uniqueness and Existence

Theorem (Tian–Zhu)

Kähler–Ricci solitons on a Fano manifold are unique modulo $\text{Aut}^0(X)$ (and up to scaling).

Theorem (Berman–Witt-Nyström)

If a Fano manifold admits a Kähler–Ricci soliton, then X is modified K-polystable.

Theorem (Datar–Székelyhidi)

A Fano manifold X admits a Kähler–Ricci soliton if (and only if) X is modified K-polystable.

Moduli space of KR Fano manifolds

Berman–Witt–Nyström

+

Moment map picture for KR + Uniqueness of polystable degeneration

⇓

Theorem (I. '19, Adv. Math.)

Fano manifolds with KR form a [complex analytic moduli space](#).

Moduli space of KR Fano manifolds

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Moment map picture for KR + Uniqueness of polystable degeneration

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Theorem (I. '19, Adv. Math.)

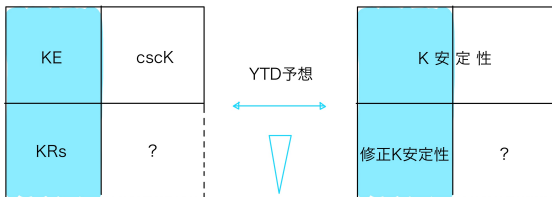
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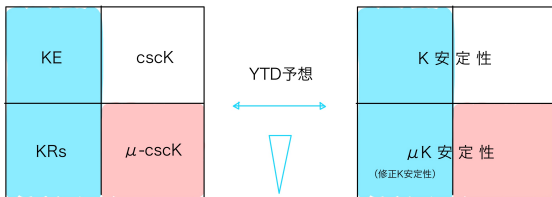
Theorem (Dervan–Naumann)

CscK manifolds form a complex analytic moduli space.

Summary



Summary



3. Introduction to μ -cscK – special features

μ -scalar curvature: definition

$X \curvearrowright T \cong (U(1))^{\times k}$: holomorphic action on a complex (Kähler) manifold

μ -scalar curvature

For $\lambda \in \mathbb{R}$ and $\xi \in \mathfrak{t}$ and a T -equivariant Kähler metric $\omega + \mu$, we put

$$\begin{aligned}
 s_{\xi}^{\lambda}(\omega) &:= (s(\omega) - \Delta\mu_{\xi}) - (\Delta\mu_{\xi} + 2|\nabla\mu_{\xi}|^2) + 2\lambda\mu_{\xi} \\
 &= (s(\omega) + \bar{\square}\theta_{\xi}) + (\bar{\square}\theta_{\xi} - (J\xi)\theta_{\xi}) - \lambda\theta_{\xi}.
 \end{aligned}$$

Definition

A Kähler metric ω is a μ_{ξ}^{λ} -cscK metric if $s_{\xi}^{\lambda}(\omega)$ is constant.

- Independent of the choice of the moment map μ for ω .
- μ_0^{λ} -cscK metric \iff cscK metric.
- When $\lambda\omega \in 2\pi c_1(X)$,
 μ_{ξ}^{λ} -cscK metric \iff Kähler-Ricci soliton: $\text{Ric}(\omega) - L_{J\xi}\omega = \lambda\omega$.

μ -scalar curvature: “naturalness” of the concept

Recall

Donaldson-Fujiki moment map picture

(M, ω) : C^∞ -symplectic manifold. Scalar curvature gives a moment map on $\mathcal{J}(M, \omega)$. Namely, the map $\mathcal{S} : \mathcal{J}(M, \omega) \rightarrow \text{Lie}(\text{Ham}(M, \omega))^\vee$ given by

$$\langle \mathcal{S}(J), f \rangle = \int_M (s(g_J) - \bar{s}) f \omega^n$$

is a moment map for the symplectic structure Ω on $\mathcal{J}(M, \omega)$:

$$\Omega_J(A, B) = \int_M (JA, B)_{g_J} \omega^n.$$

μ -scalar curvature: “naturality” of the concept

Put

$$\bar{s}_\xi^\lambda := \int_M s_\xi^\lambda(g_J) e^{\theta_\xi \omega^n} / \int_M e^{\theta_\xi \omega^n}.$$

Proposition (Moment map picture for μ -cscK, I. '19, Lahdili '19)

$(M, \omega) \circlearrowleft T$: C^∞ -symplectic manifold. μ -scalar curvature gives a moment map on $\mathcal{J}_T(M, \omega)$. Namely, the map $\mathcal{S}_\xi^\lambda : \mathcal{J}_T(M, \omega) \rightarrow \text{Lie}(\text{Ham}_T(M, \omega))^\vee$ given by

$$\langle \mathcal{S}_\xi^\lambda(J), f \rangle = \int_M (s_\xi^\lambda(g_J) - \bar{s}_\xi^\lambda) f e^{\theta_\xi \omega^n}$$

is a moment map for the symplectic structure Ω_ξ on $\mathcal{J}_T(M, \omega)$:

$$\Omega_{\xi, J}(A, B) = \int_M (JA, B)_{g_J} e^{\theta_\xi \omega^n}.$$

μ -Futaki invariant and μ -entropy

For $\xi \in \mathfrak{t}$, the μ -Futaki invariant $\text{Fut}_\xi^\lambda \in \mathfrak{t}^\vee$ is defined by

$$\text{Fut}_\xi^\lambda(\eta) := -\langle \mathcal{S}_\xi^\lambda(J), \theta_\eta \rangle = -\int_X (s_\xi^\lambda(\omega) - \bar{s}_\xi^\lambda) \theta_\eta e^{\theta_\xi \omega^n} / \int_X e^{\theta_\xi \omega^n}.$$

Independent of $\omega \in [\omega]$ and $\mu : X \rightarrow \mathfrak{t}^\vee$.

$$\boxed{\exists \mu_\xi^\lambda\text{-cscK metric in } [\omega] \Rightarrow \text{Fut}_\xi^\lambda = 0}$$

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Independent of $\omega \in [\omega]$ and $\mu : X \rightarrow \mathfrak{t}^\vee$.

$$\exists \mu_\xi^\lambda\text{-cscK metric in } [\omega] \Rightarrow \text{Fut}_\xi^\lambda = 0$$

$$\mu^\lambda(-2\xi) = -\frac{\int_X (s + \bar{\square}\theta_\xi) e^{\theta_\xi \omega^n}}{\int_X e^{\theta_\xi \omega^n}} + \lambda \frac{\int_X (n + \theta_\xi) e^{\theta_\xi \omega^n}}{\int_X e^{\theta_\xi \omega^n}} - \lambda \log \int_X e^{\theta_\xi \omega^n} \frac{\omega^n}{n!}$$

Also independent of $\omega \in [\omega]$ and $\mu : X \rightarrow \mathfrak{t}^\vee$.

$$\mathcal{D}_\xi \mu^\lambda = \text{Fut}_\xi^\lambda$$

Properties of μ^λ -entropy

Theorem (I. '19)

- (Existence) Critical points of μ^λ always exist regardless of the existence of μ_ξ^λ -cscK metrics in $[\omega]$.
- (Uniqueness/phase transition) For each $X \circlearrowright T$,

$$\lambda_{\text{freeze}} := \sup \left\{ \lambda \in \mathbb{R} \mid \begin{array}{l} \mu^{\lambda'} \text{ admits a unique} \\ \text{critical point for every } \lambda' \leq \lambda \end{array} \right\}$$

is always **finite** (never $\pm\infty$).

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is always **finite** (never $\pm\infty$).

- (Extremal limit) Let ξ^λ be the unique critical point of μ^λ for $\lambda < \lambda_{\text{freeze}}$. Then $\lambda\xi^\lambda$ converges to the **extremal vector field** ξ_{ext} as λ tends to $-\infty$.

The **extremal vector field** ξ_{ext} is the unique critical point of

$$\int_X (\hat{s}(\omega) - \hat{\theta}_\xi)^2 \omega^n - \int_X \hat{s}^2 \omega^n. \quad (\hat{f} := f - \int_X f \omega^n / \int_X \omega^n)$$

Behavior of μ^λ -entropy: typical example

We can explicitly compute μ^λ of $\mathbb{C}P^1 \circlearrowleft U(1)$. For $\xi = x.\eta \in \mathfrak{u}(1)$,

$$\mu_{-K_{\mathbb{C}P^1}}^\lambda(\xi) = 2\pi\left(1 - \frac{x}{\tanh x}\right) + \lambda\left(-1 + \frac{x}{\tanh x}\right) - \lambda \log \frac{2 \sinh x}{x}.$$

■ $\lambda_{\text{freeze}}(\mathbb{C}P^1, -K_{\mathbb{C}P^1}) = 4\pi.$

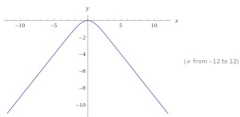
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- $\lambda_{\text{freeze}}(\mathbb{C}P^1, -K_{\mathbb{C}P^1}) = 4\pi$.
- There actually exists a μ_ξ^λ -cscK metric for exactly two $\xi \neq 0$ (and $\xi = 0$) when $\lambda > 4\pi$.
- As $\lambda \rightarrow \infty$, the family of (non-cscK) μ^λ -cscK metrics ω_λ admits a family of diffeomorphisms $f_\lambda : D^2 \rightarrow \mathbb{C} \subset \mathbb{C}P^1$ from a disk of radius $\sqrt{2}$ such that $f_\lambda^* \omega_\lambda$ converges to the flat metric. (while f_λ does not converge to a diffeomorphism onto \mathbb{C} .)

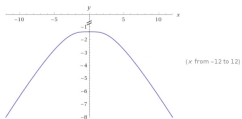
plot $1 - \frac{x}{\tanh(x)}$ $\lambda = 0$



Graphs of $\mu^\lambda(x, \eta) / 2\pi$

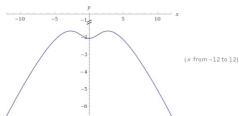
$-\infty$

plot $-1 + \frac{x}{\tanh(x)} - 2 \log\left(\frac{2 \sinh(x)}{x}\right)$ $\lambda_{\text{freeze}} = 4\pi$



λ

plot $-2 + 2 \times \frac{x}{\tanh(x)} - 3 \log\left(\frac{2 \sinh(x)}{x}\right)$ $\lambda = 6\pi$



$+\infty$

Closedness of framework

- (Scaling) ω : μ_ξ^λ -cscK metric $\Rightarrow c^{-1}\omega$: $\mu_{c\xi}^{c\lambda}$ -cscK metric.
- (Product) $(X, \omega_X), (Y, \omega_Y)$: μ^λ -cscK metrics with the same λ and with respect to vector fields ξ_X, ξ_Y , respectively \Rightarrow
 $(X \times Y, \omega_X \oplus \omega_Y)$: μ^λ -cscK metric with respect to $\xi_X \oplus \xi_Y$.

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- (Perturbation of λ) $\exists \mu^\lambda$ -cscK metric in $[\omega]$ with $\lambda < \lambda_1$ for the first eigenvalue λ_1 of $\Delta - \nabla \mu_\xi \Rightarrow \exists \mu^{\tilde{\lambda}}$ -cscK metric in the same $[\omega]$ for $\tilde{\lambda} \in (\lambda - \epsilon, \lambda + \epsilon)$.
- (Perturbation of Kähler class) We can also perturb Kähler classes under the above condition.

Closedness of framework

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- (Perturbation of Kähler class) We can also perturb Kähler classes under the above condition.
- (Propagation) \exists extremal metric in $[\omega] \Rightarrow \mu^\lambda$ -cscK metric in the same $[\omega]$ for $\lambda \ll \lambda_{\text{freeze}}$ and also for $\lambda \gg \lambda_{\text{freeze}}$.
- (Uniqueness) Convexity of weighted Mabuchi functional shows that μ^λ -cscK metrics are unique for $\lambda < \lambda_{\text{freeze}}$. (Lahdili)

Calabi ansatz on $\mathbb{P}_\Sigma(L \oplus \mathcal{O})$

Consider the ruled manifold $\mathbb{P}_\Sigma(L \oplus \mathcal{O})$ for a positive L on an algebraic curve Σ . Let F denote a fibre and $B = \{(x, (0 : 1)) \mid x \in \Sigma\}$ denote the section at infinity. The Kähler cone is given by

$$\{aF + bB \mid b > 0, \frac{a}{b} > -\frac{\deg L}{2}\}.$$

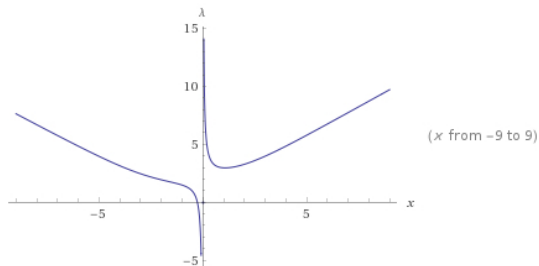
Theorem (I. '20)

Every Kähler class in the cone $\{aF + bB \mid a, b > 0\}$ admits a μ^λ -cscK metric for every $\lambda \geq 0$ (for some ξ).

For $g(\Sigma) \geq 2$ and small $\frac{a}{b}$, the Kähler class $aF + bB$ does not admit extremal metrics. (rel. K-unstable \Rightarrow no μ^λ -cscK metrics for $\lambda \ll 0$.)

Calabi ansatz on $\mathbb{C}P^2 \# \overline{\mathbb{C}P^2} = \mathbb{P}_{\mathbb{C}P^1}(\mathcal{O}(1) \oplus \mathcal{O})$

- The anti-canonical class $-K_X$ of $X = \mathbb{C}P^2 \# \overline{\mathbb{C}P^2} = \mathbb{P}_{\mathbb{C}P^1}(\mathcal{O}(1) \oplus \mathcal{O})$ admits both **KRs** and **extremal metric** (no cscK metrics).
- Calabi ansatz: $\exists \mu^\lambda$ -cscK metrics for every $\lambda \in \mathbb{R}$ (with a negative $x_\lambda = \xi^\lambda / \eta = (6/11) \cdot \xi^\lambda / \xi_{\text{ext}}$) **connecting KRs and extremal metric**.



- We can see $2.9 \times 2\pi < \lambda_{\text{freeze}} < 3 \times 2\pi$.

4. How to formulate μ K-stability? – equivariant calculus

Remarks

Recall

$$DF(\mathcal{X}, \mathcal{L}) := (K_{\bar{\mathcal{X}}/\mathbb{C}P^1} \cdot \mathcal{L}^{\cdot n}) - \frac{n}{n+1} \frac{(K_{\mathcal{X}} \cdot L^{\cdot(n-1)})}{(L^{\cdot n})} (\bar{\mathcal{L}}^{\cdot(n+1)}).$$

- In moduli context, test configurations appear by pulling back the universal family \mathcal{U} on Hilb along \mathbb{C}^\times -equivariant morphisms $\mathbb{C} \rightarrow \text{Hilb}$, which is not necessarily normal.
- We can define DF also for non-normal $(\mathcal{X}, \mathcal{L})$ by using homology Todd class $\tau(\mathcal{O}_{\bar{\mathcal{X}}}) = [\bar{\mathcal{X}}] - \frac{1}{2}\kappa_{\bar{\mathcal{X}}} + \dots \in A_{\mathbb{Q}}(\bar{\mathcal{X}})$ instead of $K_{\bar{\mathcal{X}}}$. (cf. Fulton, Edidin-Graham)
- The [intersection formula](#) is useful to see the behavior of $DF(\mathcal{X}, \mathcal{L})$ along the normalization and resolutions of \mathcal{X} .

Recall

$$\mu^\lambda = -\frac{\int_X (\text{Ric} + \bar{\square}\mu) e^{\omega+\mu}}{\int_X e^{\omega+\mu}} + \lambda \frac{\int_X (\omega + \mu) e^{\omega+\mu}}{\int_X e^{\omega+\mu}} - \lambda \log \int_X e^{\omega+\mu}$$

μ Futaki invariant of test configuration

(X, L) : T -equivariant polarized manifold (scheme)

For $\xi \in \mathfrak{t}$, we define the μ_ξ^0 -Futaki invariant of a T -equivariant test configuration $(\mathcal{X}, \mathcal{L})$ by the following equivariant intersection formula:

$$\text{Fut}_\xi^0(\mathcal{X}, \mathcal{L}) := 4\pi \frac{\text{Ev}_\xi \left((\kappa_{\bar{\mathcal{X}}/\mathbb{C}P^1}^T \cdot e^{\bar{\mathcal{L}}_T}) \cdot (e^{L_T}) - (\kappa_X^T \cdot e^{L_T})(e^{\bar{\mathcal{L}}_T}) \right)}{(\text{Ev}_\xi(e^{L_T}))^2} \in \mathbb{R}.$$

When \mathcal{X} is smooth, this is equivalent to:

$$-2 \frac{\int_{\bar{\mathcal{X}}} (\text{Ric}_{\bar{\Omega}}^{\text{rel}} + \bar{\square}_{\bar{\Omega}} \tilde{\Theta}_\xi) e^{\Omega + \Theta_\xi} \int_X e^{\Omega + \Theta_\xi} - \int_X (\text{Ric}_\omega + \bar{\square} \theta_\xi) e^{\omega + \theta_\xi} \int_{\bar{\mathcal{X}}} e^{\Omega + \Theta_\xi}}{(\int_X e^{\omega + \theta_\xi})^2},$$

where $\text{Ric}_{\bar{\Omega}}^{\text{rel}} = \text{Ric}(\tilde{\Omega}) - \pi^* \text{Ric}(\omega_{\mathbb{C}P^1})$ for some metrics $\tilde{\Omega}, \omega_{\mathbb{C}P^1}$ on $\bar{\mathcal{X}}, \mathbb{C}P^1$.

We can similarly define

$$\text{Fut}_\xi^\lambda(\mathcal{X}, \mathcal{L}) := \text{Fut}_\xi^0(\mathcal{X}, \mathcal{L}) + \lambda(\text{equiv. intersection on } \bar{\mathcal{L}}).$$

μ K-semistability

A T -polarized manifold is μ_ξ^λ K-semistable if Fut_ξ^λ is non-negative for any test configuration.

Theorem (I. '20 + Lahdili '19)

- If $c_1(L)$ admits a μ_ξ^λ -cscK metric, then (X, L) is μ_ξ^λ K-semistable.
- If (X, L) is μ^λ K-semistable for $\lambda \ll 0$, then (X, L) is relatively K-semistable.

Recall:

- If (X, L) admits an extremal metric, then it admits μ^λ -cscK metrics for $\lambda \ll 0$ and $\lambda \gg 0$.
- Even if (X, L) is μ^λ K-semistable for $\lambda \gg 0$, (X, L) may not be relatively K-semistable.

Generalization of CM line bundle

Theorem (I. '20)

For $\lambda \in \mathbb{R}$ and $\xi \in \mathfrak{t}$, there exists a **characteristic class** $\mathcal{D}_\xi \mu^\lambda$ assigning $\mathcal{D}_\xi \mu^\lambda(\mathcal{X}/B, \mathcal{L}) \in H_G^2(B, \mathbb{R})$ for each $T \times G$ -equivariant family of polarized schemes $(\mathcal{X}/B, \mathcal{L})$ over smooth G -variety B which enjoys the following:

- 1 **Naturality:** $f^* \mathcal{D}_\xi \mu^\lambda(\mathcal{X}/B, \mathcal{L}) = \mathcal{D}_\xi \mu^\lambda(\mathcal{X}'/B', \mathcal{L}')$ for

$$\begin{array}{ccc}
 \mathcal{X}' & \longrightarrow & \mathcal{X} \\
 \downarrow & & \downarrow \\
 B' & \xrightarrow{f} & B
 \end{array}$$

- 2 **μ -Futaki invariant:** $\mathcal{D}_\xi \mu^\lambda(\mathcal{X}/\mathbb{C}, \mathcal{L}) = \text{Fut}_\xi^\lambda(\mathcal{X}, \mathcal{L}) \cdot \eta^\vee$ for any T -equivariant test configuration $(\mathcal{X}, \mathcal{L})$
- 3 **CM line bundle:** $\mathcal{D}_0 \mu_G^\lambda(\mathcal{X}/B, \mathcal{L}) = -\frac{4\pi}{(L^n)} c_1^G(\text{CM}(\mathcal{X}/B, \mathcal{L}))$

Application

Combining with Chen–Sun’s deep analysis on Kähler–Ricci flow and the analytic openness of μ K-semistable locus established in the previous work, we can show that μ K-semistable locus of a \mathbb{Q} -Fano family is Zariski open on the base. Then we get the following result on algebraicity.

Theorem (I. '20)

The moduli space of Fano manifolds with KR is an [algebraic space](#).

I also have a plan for [compactifying the moduli space](#) (in progress).

Idea of construction – the case $\lambda = 0$ (to economize space)

Recall the following expression of μ^λ -entropy:

$$\mu^0 = - \frac{\int_X (\text{Ric} + \bar{\square}\mu) e^{\omega+\mu}}{\int_X e^{\omega+\mu}}.$$

Both $\int_X (\text{Ric} + \bar{\square}\mu) e^{\omega+\mu}$ and $\int_X e^{\omega+\mu}$ are the integration of equivariant forms. In other words, we can regard these as the pushforward of the equivariant cohomology classes

$$K_X^T \frown e^{L_T}, e^{L_T} \in \hat{H}_T(X, \mathbb{R}) := \prod_{k=0}^{\infty} H_T^{2k}(X, \mathbb{R})$$

along $p : X \rightarrow \text{pt}$, which are elements of $\hat{H}_T(\text{pt}, \mathbb{R}) \cong \prod_{k=0}^{\infty} S^k \mathfrak{t}^\vee$ and are the Taylor expansion (at $0 \in \mathfrak{t}$) of the functionals $\int_X (\text{Ric} + \bar{\square}\mu) e^{\omega+\mu}$, $\int_X e^{\omega+\mu}$ on \mathfrak{t} . For a G -equivariant polarized family $(\mathcal{X}/B, \mathcal{L})$, we put

$$\mu_{\mathcal{X}/B, \mathcal{L}}^0 := 2\pi \frac{\pi_*(\kappa_{\mathcal{X}/B} \cdot e^{\mathcal{L}})}{\pi_*(e^{\mathcal{L}})} \in \hat{H}_G(B, \mathbb{R}).$$

Idea of construction – Sketch of equivariant calculus

- 1 (Differential at ξ along G) For $\xi \in \mathfrak{t}$, we introduce a differential operation

$$\mathcal{D}_\xi : H_{T \times G}^\omega(B, \mathbb{R}) \rightarrow H_G^2(B, \mathbb{R})$$

for some subring $H_{T \times G}^\omega(B, \mathbb{R})$ of $\hat{H}_{T \times G}(B, \mathbb{R})$ where T acts on B trivially. When $G = \{1\}$ and $B = \text{pt}$, $H_{T \times G}^\omega(B, \mathbb{R})$ is identified with the ring of real analytic functions on \mathfrak{t} .

- 2 (Convergence result) For $T \times G$ -equivariant polarized family $(\mathcal{X}/B, \mathcal{L})$, we can show that $\mu_{\mathcal{X}/B, \mathcal{L}}^\lambda$ is in $H_{T \times G}^\omega(B, \mathbb{R})$, using [Cartan model of equivariant deRham current homology](#). The element $\mathcal{D}_\xi \mu_{\mathcal{X}/B, \mathcal{L}}^\lambda \in H_G^2(B, \mathbb{R})$ is what we want!
- 3 (Equivariant Grothendieck-Riemann-Roch) Naturality and the identification with CM line bundle comes from the [equivariant Grothendieck-Riemann-Roch theorem](#) by Edidin-Graham.
- 4 (Localization formula) Using the [equivariant localization formula](#), we can see $\mathcal{D}_\xi \mu_{\mathcal{X}/\mathbb{C}, \mathcal{L}}^\lambda = \text{Fut}_\xi^\lambda(\mathcal{X}, \mathcal{L}) \cdot \eta^\vee$.

Thank you for listening!

5. Bonus talk: Beyond YTD conjecture

Beyond YTD conjecture: Perelman's μ -entropy

Define $\check{\mu}^\lambda : \mathcal{H}(X, [\omega]) \rightarrow \mathbb{R}$ by

$$\check{\mu}^\lambda(\omega) := \sup_{f \in C^\infty(X)} \check{W}^\lambda(\omega, f)$$

where

$$\check{W}^\lambda(\omega, f) := \int_X (s(\omega) + \bar{\square}f - \lambda(n+f)) e^f \omega^n / \int_X e^f \omega^n - \lambda \log \left(\frac{1}{n!} \int_X e^f \omega^n \right).$$

Theorem (to appear)

The functional $\check{\mu}^\lambda$ is smooth when $\lambda \leq 0$ (mountain pass theorem).

- Its critical points of $\check{\mu}^\lambda$ are precisely μ^λ -cscK metrics (for some ξ).
- The critical points are global minimizer.
- A Kähler metric ω is μ_ξ^λ -cscK metric iff $\check{\mu}^\lambda(\omega) = \mu^\lambda(\xi)$.

Beyond YTD conjecture: Non-archimedean μ -entropy

$$\mu_{NA}^\lambda : \mathcal{H}^{NA}(X, L) \rightarrow \mathbb{R}$$

Theorem (Essentially proved in I. '20)

If a 'test configuration' $\phi_\xi \in \mathcal{H}^{NA}(X, L)$ associated to a vector field maximizes μ_{NA}^λ , then (X, L) is μ_ξ^λ K-semistable.

Conjecture

$$\sup \mu_{NA}^\lambda \leq \inf \check{\mu}^\lambda$$

- X admits a μ^λ -cscK metric ω
 - $\iff \check{\mu}^\lambda(\omega) = \inf \check{\mu}^\lambda \iff \check{\mu}^\lambda(\omega) = \sup \mu_{NA}^\lambda.$
- X is μ^λ K-semistable with respect to ξ
 - $\iff \mu_{NA}^\lambda(\phi_\xi) = \sup \mu_{NA}^\lambda \iff \mu_{NA}^\lambda(\phi_\xi) = \inf \check{\mu}^\lambda.$

Remarks: Han-Li's result when $L = -K_X$

- Berman–Witt–Nyström proved that $(X, -K_X)$ is $\mu^{2\pi}$ K-polystable with respect to **special degenerations** if X admits a KR (= $\mu^{2\pi}$ -cscK metric).
- Recently, J. Han and C. Li introduced G -uniform g -Ding stability ' $\mathbf{D}_g^{NA}(\phi) \geq \gamma \cdot \mathbf{J}_g^{NA}(\phi)$ ' and proved the equivalence of G -uniform g -Ding stability of $(X, -K_X)$ for 'maximal' G is equivalent to the existence of KR g -soliton.
- They also show that the (G -uniform) g -Ding stability of $(X, -K_X)$ is equivalent to that with respect to **special degenerations**, using MMP with scaling. The proof works also for \mathbf{M}_g^{NA} . (I guess it works also for Fut_ξ^λ .)
- g -Mabuchi stability for $g = e^{\langle \xi, - \rangle}$ must be equivalent to μ_ξ^λ K-stability. (λ is determined from ξ .)
- Thus, \exists KR on $X \iff (X, -K_X)$ is $\mu^{2\pi}$ K-polystable.